

# **Internal Audit and Compliance Reporting Summary**

**November 17, 2021**

# Agenda

## **B. Moss Adams Report - FY21 Financial Statements**

## **C. Internal Audit/Compliance Reporting Summary**

### **C1. Top Report Summary**

C1a. HIPAA Privacy

C1b. Information Cybersecurity

C1c. Internal Audit Report

# FY2021 Financial Statements Moss Adams

Action Item

# Reportable Breach Notifications

## **2 cases reported to CDPH and OCR on:**

- **October 4, 2021 - Unauthorized Access to a Patient's Records**
- **October 29, 2021 – Unauthorized Disclosure of Patient Condition/Test Result**

## **Plan of Correction:**

- **Patients were notified of the incident**
- **Re-training of staff member involved**
- **Re-training of department level staff**
- **Disciplinary actions taken**

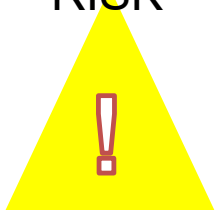
# Cybersecurity Report

## November 2021

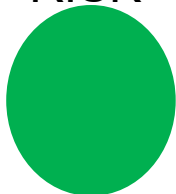
High  
RISK



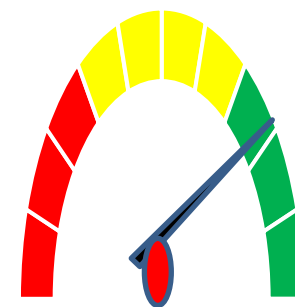
Medium  
RISK



Low  
RISK



Category	# of events this month	AHS Mitigation
Phishing/Email Attacks	2.5M (up .2M)	Email security appliance and Crowdstrike
Malware Attacks	120 (up 79)	Crowdstrike- Detection and Preventions
Password Attacks	318 (N/A)	Blocked on the firewall, detection through ExtraHop, and Prevention through 2FA for external access
Distributed/Denial of Service	0 (N/A)	Blocked on the firewall
Eavesdropping, Cross-site scripting, man-in-the-middle attacks	N/A	Currently not being detected



OVERALL  
CYBERSECURITY  
HEALTH

# Internal Audit Report

Audit Project	Findings/ Recommendations	Dept/Owner Action Plan - Completion Date
Medicare Secondary Payer Questionnaire (MSPQ)	No improvement opportunities or material issues identified.	N/A
HR Employee Certification (ACLS and BLS) Compliance Review	<ul style="list-style-type: none"><li>• Employees working with expired Certifications</li><li>• Delay in recording Certification renewals</li></ul>	October 31, 2021